

**SECRETARIAL COMPLIANCE REPORT OF MM FORGINGS LIMITED  
FOR THE YEAR ENDED 31.03.2022**

(Pursuant to Circular No.CIR/CFD/CMD1/27/2019 dated February 08, 2019 issued  
by Securities And Exchange Board of India)

I, Venkataraman Shankar, Practicing Company Secretary have examined:

(a) All the documents and records made available to us and explanation provided by MM FORGINGS LIMITED ("The Listed Entity")

(b) The filings/submissions made by the Listing Entity to BSE (Bombay Stock Exchange) Limited and the National Stock Exchange of India Limited (the Stock Exchanges where its Equity Shares are listed),

(c) The website of the Listed Entity (<http://www.mmforgings.com>),

(d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31.03.2022 ("Review Period") in respect of compliance with the provisions of :

(a) The Securities And Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder: and

(b) The Securities Contracts (Regulation) Act, 1956 ("SCRA") and the rules made thereunder and the Regulations, Circulars, guidelines issued thereunder by the Securities And Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:



(a) Securities And Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

(b) Securities And Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018. (Not applicable during the year under review)

(c) Securities And Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations 2011;

(d) Securities And Exchange Board of India (Buyback of Securities) Regulations 2018; (Not applicable during the year under review)

(e) Securities And Exchange Board of India (Share Based Employee Benefits) Regulations 2014; (Not applicable during the year under review)

(f) Securities And Exchange Board of India (Issue and Listing of Debt Securities) Regulations 2008; (Not applicable during the year under review)

(g) Securities And Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations 2013; (Not applicable during the year under review)

(h) Securities And Exchange Board of India (Prohibition of Insider Trading) Regulations 2015;

and circulars/guidelines issued thereunder and based on the above examination, I hereby report that, during the Review Period:



(a) The Listed Entity has complied with the provisions of the above Regulations and Circulars/guidelines issued thereunder, except in respect of matters specified below:

SNO.	Compliance Requirement (Regulations/Circulars/Guidelines including Specific Clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1.	Nil	Nil	Nil

(b) The Listed Entity has maintained proper records under the provisions of the above Regulations and Circulars/Guidelines issued thereunder in so far as it appears from my examination of those records.

(c) The following are the details of action taken against the Listed Entity/its Promoters/Directors/material subsidiaries, either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various Circulars) under the aforesaid Acts/Regulations and Circulars/Guidelines issued thereunder:

S.N O	Action taken by	Details of violation	Details of Action taken Eg, Fines, warning letter, debarment etc.	Observations/remarks of the Practicing Company Secretary, if any
1.	NIL	NIL	NIL	NIL



(d) The Listed Entity has taken the following actions to comply with the observations made in previous reports

S.N	Observations of the Practicing Company Secretary in the previous Reports	Observations made in the Secretarial Compliance Report for the year ended 31.03.2022	Actions taken by the Listed Entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
1.	NA	NA	NA	NA



*V Shankar*

**V SHANKAR**  
**COMPANY SECRETARY IN PRACTICE**

**ACS NO 12080**

**C.P NO. 12974**

**PLACE : CHENNAI**

**DATE :11.04.2022**